

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)  
(Jointly Administered)

Ref. Docket Nos. 37728, 37939,  
37941, 37942, 38020, 38031, 38034,  
38035, 38041, 38049, 38051, 38073,  
38074, 38076, 38078-38082

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 20, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
28<sup>th</sup> day of June, 2013

/s/ Cassandra Murray

Notary Public, State of New York

No. 01MU6220179

Qualified in Queens County

Commission Expires April 12, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ASHTON INVESTMENTS, LLC  
TRANSFEROR: ELLIOTT INTERNATIONAL, L.P.  
C/O ELLIOTT MANAGEMENT CORPORATION  
ATTN: MICHAEL STEPHAN  
40 WEST 57TH STREET  
NEW YORK NY 10019

Please note that your claim # 62744-73 in the above referenced case and in the amount of  
\$1,145,175.57 allowed at \$1,486,125.66 has been transferred (unless previously expunged by court order)

BARCLAYS BANK PLC  
TRANSFEROR: ASHTON INVESTMENTS, LLC  
ATTN: DANIEL MIRANDA  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

BARCLAYS BANK PLC  
ANTHONY VITIELLO  
BARCLAYS BANK PLC  
745 SEVENTH AVENUE, 2ND FLOOR  
NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 38020 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 06/24/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 24, 2013.

**EXHIBIT B**

TIME: 09:45:12  
DATE: 06/24/13

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

| Name  | Address  |
|---|--|
| ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, L.P. | TRANSFEROR: YORVIK PARTNERS LLP 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022   |
| ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND, LP   | TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022                    |
| ASHTON INVESTMENTS, LLC                             | TRANSFEROR: ELLIOTT INTERNATIONAL, L.P. C/O ELLIOTT MANAGEMENT CORPORATION ATTN: MICHAEL STEPHAN 40 WEST 57TH STREET NEW YORK NY 10019     |
| BANCA PROFILO S.P.A.                                | CORSO ITALIA, 49 MILAN 20122 ITALY   |
| BANK JULIUS BAER & CO. LTD.                         | TRANSFEROR: UBS AG ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH 8010 SWITZERLAND   |
| BARCLAYS BANK PLC                                   | ATTN: ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019   |
| BARCLAYS BANK PLC                                   | BARCLAYS ATTN: ANTHONY VITIELLO, DAN MIRANDA, JOSEPH PUCCIO 745 SEVENTH AVENUE NEW YORK NY 10019   |
| BARCLAYS BANK PLC                                   | ANTHONY VITIELLO BARCLAYS BANK PLC 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019   |
| BARCLAYS BANK PLC                                   | TRANSFEROR: ASHTON INVESTMENTS, LLC ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                                   |
| BARCLAYS BANK PLC                                   | TRANSFEROR: MAGNETAR CAPITAL MASTER FUND, LTD C/O BARCLAYS ATTN: AILEEN MONTANA 1301 AVENUE OF THE AMERICAS NEW YORK NY 10166              |
| BARCLAYS BANK PLC                                   | TRANSFEROR: MOUNT KELLETT MASTER FUND II, LP ATTN: DANIEL MIRADA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                           |
| BARCLAYS BANK PLC                                   | TRANSFEROR: MOUNT KELLETT MASTER FUND II, LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                          |
| BARCLAYS BANK PLC                                   | TRANSFEROR: VARDE FUND VI-A LP, THE ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                                   |
| BARCLAYS BANK PLC                                   | TRANSFEROR: VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019            |
| BARCLAYS BANK PLC                                   | TRANSFEROR: VARDE INVESTMENT PARTNERS, LP ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019                             |
| BNP PARIBAS SECURITIES CORP                         | TRANSFEROR: BNP PARIBAS ATTN: EVAN GIANOUKAKIS 787 7TH AVENUE NEW YORK NY 10019  |
| BSI SA  | TRANSFEROR: CREDIT SUISSE ATTN: MR. ANDREA FERRARI CUSTODY ADMINISTRATION VIA MAGATTI 2 LUGANO 6900 SWITZERLAND                            |
| CREDIT SUISSE                                       | ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010   |
| CREDIT SUISSE                                       | CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019  |
| CREDIT SUISSE                                       | RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019   |
| CREDIT SUISSE AG                                    | TRANSFEROR: UBS AG CRAVATH, SWAINE & MOORE LLP ATTN: MS. STEPHANIE TUMBLOLO 625 8TH AVENUE NEW YORK NY 10019                               |
| CREDIT SUISSE AG                                    | TRANSFEROR: UBS AG CRAVATH, SWAINE & MOORE LLP ATTN: MS. STEPHANIE TUMBLOLO 625 8TH AVENUE NEW YORK NY 10019                               |
| CVF II LUX FINCO, LLC                               | TRANSFEROR: CVI CVF II LUX MASTER S.A.R.L. 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343   |
| CVF II LUX FINCO, LLC                               | TRANSFEROR: CVI CVF II LUX MASTER S.A.R.L. CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343              |
| CVF II LUX SECURITIES TRADING S.A.R.L.              | WILLIAM DOYLE CREDIT SUISSE 11 MADISON AVENUE NEW YORK NY 10010  |
| CVF II LUX SECURITIES TRADING S.A.R.L.              | TRANSFEROR: CVF II LUX FINCO, LLC C/O CARVAL INVESTORS LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343                    |
| CVF II LUX SECURITIES TRADING S.A.R.L.              | TRANSFEROR: CVF II LUX FINCO, LLC C/O CARVAL INVESTORS, LLC ATTN: TERI SALBERG 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343                   |
| DEUTSCHE BANK AG, LONDON BRANCH                     | TRANSFEROR: BNP PARIBAS SECURITIES CORP C/O DEUTSCHE BANK SECURITIES INC. ATTN: MATT WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005 |
| ILLIQUIDX LLP                                       | TRANSFEROR: BANCA POPOLARE DELL'EMILIA ROMAGNA SOC. COOP. ATTN: MR. CELSTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM          |
| JEFFERIES INTERNATIONAL LIMITED                     | TRANSFEROR: BANCA PROFILO S.P.A. VINTNERS PLACE 68 UPPER THAMES STREET LONDON EC4V 3BJ UNITED KINGDOM                                      |
| MAGNETAR CAPITAL MASTER FUND, LTD                   | STROOCK & STROOCK & LAVAN LLP ATTN: CLAUDE SZYFER 180 MAIDEN LANE NEW YORK NY 10038  |
| MAGNETAR CAPITAL MASTER FUND, LTD                   | C/O MAGNETAR FINANCIAL LLC 1603 ORRINGTON AVENUE, 13TH FLOOR ATTN: SUSAN FURMAN EVANSTON IL 60201  |
| MOUNT KELLETT MASTER FUND II, LP                    | TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O MOUNT KELLETT CAPITAL MANAGEMENT LP 623 5TH AVENUE - FLOOR 18 NEW YORK NY 10022-9139             |
| UBS AG  | BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND   |
| UBS AG  | ATTN: HUGO KOLLER, OQ9C/O5GC P.O. BOX ZURICH 8098 SWITZERLAND  |
| VARDE FUND VI-A LP, THE                             | TRANSFEROR: SEA PORT GROUP SECURITIES, LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD SUITE 1500 MINNEAPOLIS MN 55437        |
| VARDE INVESTMENT PARTNERS (OFFSHORE) MASTER LP      | TRANSFEROR: SEA PORT GROUP SECURITIES, LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD SUITE 1500 MINNEAPOLIS MN 55437        |
| VARDE INVESTMENT PARTNERS, LP                       | TRANSFEROR: BARCLAYS BANK PLC ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD., STE 1570 MINNEAPOLIS MN 55437                            |
| VARDE INVESTMENT PARTNERS, LP                       | TRANSFEROR: SEA PORT GROUP SECURITIES, LLC ATTN: EDWINA P.J. STEFFER 8500 NORMANDALE LAKE BOULEVARD SUITE 1500 MINNEAPOLIS MN 55437        |
| VARDE INVESTMENT PARTNERS, LP                       | TRANSFEROR: VARDE FUND LP, THE ATTN: EDWINA PJ STEFFER 8500 NORMANDALE LAKE BLVD., STE 1500 MINNEAPOLIS MN 55437                           |
| YORVIK PARTNERS LLP                                 | TRANSFEROR: BANK JULIUS BAER & CO. LTD. 11 IRONMONGER LANE LONDON EC2V 8EY UNITED KINGDOM  |

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